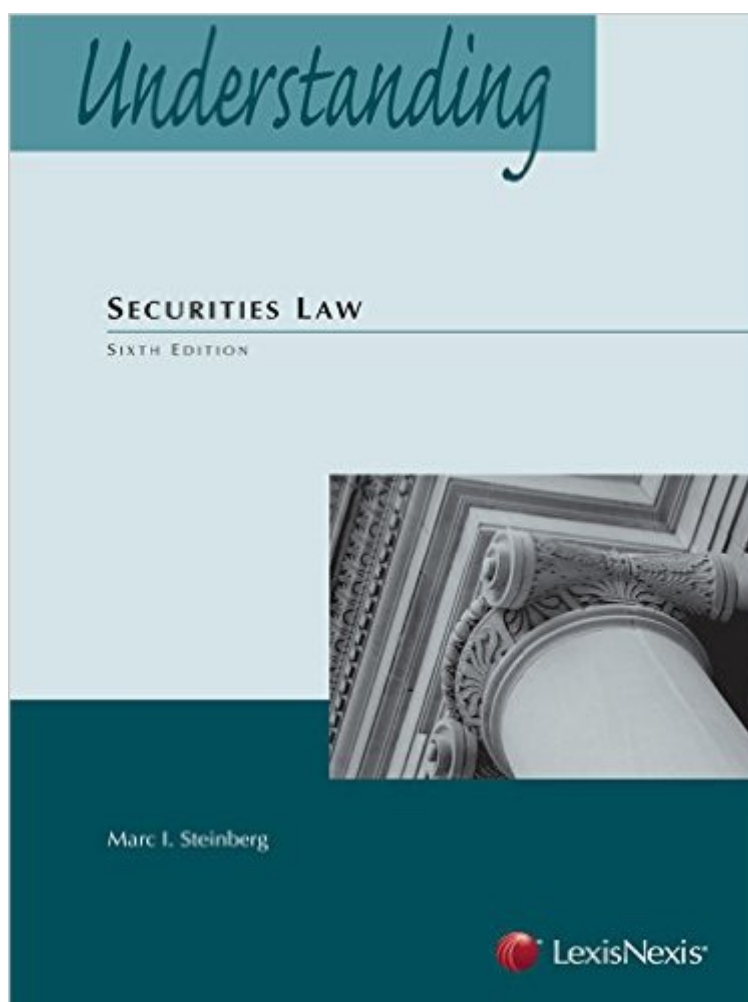


The book was found

# Understanding Securities Law (2014)



## Synopsis

This Understanding treatise follows a logical sequence of analysis of a securities issue. The author begins by defining a "security" and registration exemptions, and then continues through the process for non-exempt transactions. Understanding Securities Law clearly, thoroughly, and concisely addresses the subjects covered in basic Securities Regulation courses, including: • The definition of securities exemptions from registration; • the registration framework and process; • Sarbanes-Oxley Act; • SEC Securities Act Offering Rules; • Resales and reorganizations; • Due diligence; • Liabilities and remedies; • Affirmative disclosure duties; • Insider trading; • SEC enforcement; and • Professional responsibility. The author also includes a glossary of key terms, statutes, rules, regulations and forms and schedules, and comparative charts synthesizing previously discussed materials. The text covers the regulation of public and privately held companies under the Securities Acts, SEC "fraud" concepts, civil liabilities under the securities laws, and state "Blue Sky" laws. It also discusses the Sarbanes-Oxley legislation, SEC public offering rules, SEC regulations on the resale of securities, and recent federal Supreme Court and appellate court decisions.

## Book Information

Paperback: 550 pages

Publisher: LexisNexis; Sixth edition (April 23, 2014)

Language: English

ISBN-10: 1422482227

ISBN-13: 978-1422482223

Product Dimensions: 1.2 x 6.5 x 9.2 inches

Shipping Weight: 1.8 pounds (View shipping rates and policies)

Average Customer Review: 4.5 out of 5 stars • See all reviews • (2 customer reviews)

Best Sellers Rank: #468,262 in Books (See Top 100 in Books) #43 in Books > Law > Business > Securities #3537 in Books > Textbooks > Law #116345 in Books > Reference

## Customer Reviews

Great Hornbook for Sec. Reg. Goes through the 33 Act with precision and great detail.

Clear and concise.

[Download to continue reading...](#)

Understanding Securities Law (2014) Recent Developments in Securities Law, 2016 ed.: Leading Lawyers on Understanding Important Legislation and Complying with SEC Rules and Regulations (Inside the Minds) Understanding Securities Law Understanding Bergson, Understanding Modernism (Understanding Philosophy, Understanding Modernism) Working With Contracts: What Law School Doesn't Teach You, 2nd Edition (PLI's Corporate and Securities Law Library) Working with Contracts: What Law School Doesn't Teach You (PLI's Corporate and Securities Law Library) Property in Securities: A Comparative Study (Cambridge Studies in Corporate Law) Securities Law (Concepts and Insights) Gilbert Law Summaries on Securities Regulation, 7th Understanding Labor Law (2014) Mastering AutoCAD 2014 and AutoCAD LT 2014: Autodesk Official Press QuickBooks 2014: The Missing Manual: The Official Intuit Guide to QuickBooks 2014 The No-Nonsense Technician Class License Study Guide (2014 edition): For tests given starting July 1, 2014 Toyota Tundra (2007 thru 2014) & Sequoia (2008 thru 2014): All 2WD and 4WD models (Haynes Repair Manual) Protecting Braden (Custos Securities Series Book 2) Securities Regulation (2013) Anglo-American Securities Regulation: Cultural and Political Roots, 1690-1860 Examples & Explanations: Securities Regulation, Sixth Edition Securities Regulation Statutory Supplement (Selected Statutes) Securities Regulation Cases and Materials: 2016 Supplement

[Dmca](#)